

PATENT Atty. Docket No. HYZ-0692N2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Agrawal

Art Unit:

1635

Serial No.:

09/896,692

Examiner:

Zara, Jane J.

Filing Date:

June 29, 2001

Customer No.:

23483

Title:

Novel HIV-Specific Synthetic

Oligonucleotides and Methods of

Their Use

CERTIFICATION UNDER 37 CFR § 1.8(a)

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NOV. 12, 2004

Date of Signature and of Mail Deposit

Tina M. Dougal

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(c), Applicant and his legal representatives hereby make of record the following reference that is known by them and considered warranting disclosure under 37 C.F.R. § 1.56.

The cited reference, U.S. Publication No. 2002/0168340, corresponding to U.S.S.N. 08/837,806, is a continuation of U.S.S.N. 08/914.827, the parent application for 11/17/2004 EMERAHAI 00000018 080219 09896692 the instant application. 01 FC:1806 180.00 DA

U.S.S.N. 09/896,692 Agrawal Page 2

This statement is not to be interpreted as a representation that the cited publication is material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicant understands that the Examiner will make an independent evaluation of the cited publication.

As this Supplemental Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c), please charge Deposit Account No. <u>08-0219</u> the \$180.00 fee for this submission.

No other fees are believed to be due with this submission. However, please charge any underpayment or credit any overpayment to Deposit Account No. <u>08-0219</u>.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

James T. Olesen Attorney of Record

Registration No. 46,967

November 12, 2004

Wilmer Cutler Pickering Hale and Dorr LLP

60 State Street

Boston, MA 02109

Tel: (617) 526-6000 Fax: (617) 526-5000

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TRADEMENT Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

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Application Number	09/896,692	
Filing Date	June 29, 2001	
First Named Inventor	Sudhir Agrawal	
Art Unit	1635	
Examiner Name	Zara, Jane	
Attorney Docket Number	HYZ-069CN2 47508.556	

			U. S. PATEN	DOCUMENTS		
Examiner Initials*			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages					
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/21 (04-04)

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ENCLOSURES (Check all that apply) Fee Transmittal Form	70	TARRINGIN NEGOTIANT OF 1995	, no perso	Application Number		09/896,692		
Examiner Name Zara, Jane Attorney Docket Number HYZ-069CN2 47508.55 ENCLOSURES (Check all that apply) Fee Transmittal Form Fee Attached Licensing-related Papers After Allowance communication to to 7 Appeal Communication to 1 of Appeals and Interference (Appeals and Inte	Č			Filing Date		06/29/2001		
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Total Number of Pages in This Submission Attorney Docket Number	(to be used	for all correspondence after initial	filing)	Art Unit	O6/29/2001 Sudhir Agrawal 1635 Zara, Jane HYZ-069CN2 47508.556 Apply) After Allowance communication to Technology Center (TC) Appeal Communication to Boa of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):			
Total Number of Pages in This Submission				Examiner Name		Zara, Jane		
Fee Transmittal Form Fee Attached	Total Numb	er of Pages in This Submission		Attorney Docket Number	Н			
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Fee Attached Fee Attached Amendment/Reply After Final After Final After Final Aftidavits/declaration(s) Extension of Time Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING Thereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Se sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 the date shown below. Typed or printed name Tina M. Dougal			ENC	LOSURES (Check all that				
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Firm or Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Se sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 the date shown below. Typed or printed name Tina M. Dougal			TURE	OF APPLICANT. ATTORN	EY. OR AGEN	NT		
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Signature 1 10 14 0 111 Tox 187	Clam -4:		û `	N1 @ 2-1		Date NCV-12,		

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TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known				
Application Number	09/896,692			
Filing Date	06/29/2001			
First Named Inventor	Sudhir Agrawal			
Examiner Name	Zara, Jane			
Art Unit	1635			
Attorney Docket No.	HYZ-069CN2 47508.556			

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
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1001 790	2001 395	Utility filing fee			2,080	2255		Extension for reply within fifth month	
1002 350	2002 175	Design filing fee		1401	340	2401		Notice of Appeal	
1003 550	2003 275	Plant filing fee		1402	340	2402		Filing a brief in support of an appeal	
	2004 395	Reissue filing fee		1403	300	2403		Request for oral hearing	
1005 160	2005 80	Provisional filing fee			1,510	1451	•	Petition to institute a public use proceeding	
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